

AN INEQUALITY FOR SEQUENCE TRANSFORMATIONS

L. S. BOSANQUET

1.1. Let $A = (a_{\mu\nu})$ be a normal triangular matrix, i.e., one for which $a_{\mu\mu} \neq 0$ ($\mu \geq 0$), $a_{\mu\nu} = 0$ ($\nu > \mu$).

Inequalities of the following form have entered naturally into analysis :

$$\left| \frac{1}{R_n} \sum_{\nu=0}^m a_{n\nu} s_\nu \right| \leq K \max_{0 \leq \mu \leq m} \left| \frac{1}{R_\mu} \sum_{\nu=0}^{\mu} a_{\mu\nu} s_\nu \right|, \quad (1)$$

where (i) $0 \leq m < n$, (ii) $R_\mu > 0$ ($\mu \geq 0$), (iii) K is a constant, depending on the matrix A and the sequence $\{R_\mu\}$, but independent of m , n and the finite sequence $\{s_\nu\}$.

The factor $1/R_\mu$ is convenient for classification, but we may omit it, by replacing $a_{\mu\nu}/R_\mu$ by $c_{\mu\nu}$, so that the inequality becomes

$$\left| \sum_{\nu=0}^m c_{n\nu} s_\nu \right| \leq K \max_{0 \leq \mu \leq m} \left| \sum_{\nu=0}^{\mu} c_{\mu\nu} s_\nu \right|. \quad (2)$$

The inequalities (1) and (2) only hold for restricted classes of matrices. For example, if $|c_{n\nu}| \rightarrow \infty$ as $n \rightarrow \infty$, (2) breaks down for large n . Again, if $c_{n\nu} \rightarrow 0$, (2) gives no information for large n .

But these cases remain significant if we consider instead inequalities with the constant K replaced by a factor $G = G(m, n)$, independent of $\{s_\nu\}$, but depending on m and n . In the present paper I begin by obtaining an inequality of this kind, for an arbitrary normal triangular matrix with complex elements. The factor G is best-possible, in the sense that equality is attained with a suitable $\{s_\nu\}$, depending on m and n . In §3 I give necessary and sufficient conditions for equality to be attained with a sequence $\{s_\nu\}$ which is independent of m and n .

For an important class of matrices with positive elements, the same inequality has been obtained by Wilansky and Zeller [19], and discussed further by Zeller [22]. An account of earlier results is given in §2, in conjunction with examples. Zeller's later results are discussed in §4.

1.2. If

$$t_\mu = \sum_{\nu=0}^{\mu} a_{\mu\nu} s_\nu \quad (\mu \geq 0), \quad (3)$$

then

$$s_\nu = \sum_{\mu=0}^{\nu} b_{\nu\mu} t_\mu \quad (\nu \geq 0), \quad (4)$$

where $B = (b_{\mu\nu})$ is the inverse of the normal triangular matrix $A = (a_{\mu\nu})$. The matrix B is also triangular and normal. From the identities

$AB = BA = I$, we have

$$\sum_{\lambda=\nu}^{\mu} a_{\mu\lambda} b_{\lambda\nu} = \begin{cases} 1 & (\nu = \mu) \\ 0 & (\nu < \mu) \end{cases} \quad (5)$$

and

$$\sum_{\lambda=\nu}^{\mu} b_{\mu\lambda} a_{\lambda\nu} = \begin{cases} 1 & (\nu = \mu) \\ 0 & (\nu < \mu). \end{cases} \quad (6)$$

We shall make frequent use of (3), (4) and (5).

THEOREM 1. *If $A = (a_{\mu\nu})$ is a normal triangular matrix, with complex elements, $B = (b_{\mu\nu})$ is its inverse, $R_{\mu} > 0$ and $0 \leq m < n$, then*

$$\left| \frac{1}{R_n} \sum_{\nu=0}^m a_{n\nu} s_{\nu} \right| \leq G \max_{0 \leq \mu \leq m} \left| \frac{1}{R_{\mu}} \sum_{\nu=0}^{\mu} a_{\mu\nu} s_{\nu} \right| \quad (7)$$

for all finite sequences $\{s_{\nu}\}$ ($\nu = 0, 1, \dots, m$), where

$$G = G(m, n) = \frac{1}{R_n} \sum_{\mu=0}^m |h_{\mu}| R_{\mu}, \quad (8)$$

$$h_{\mu} = h_{\mu}(m, n) = \sum_{\nu=\mu}^m a_{n\nu} b_{\nu\mu}. \quad (9)$$

If

$$h_{\mu} = e^{-i\rho_{\mu}} |h_{\mu}| \neq 0 \quad (0 \leq \mu \leq m), \quad (10)$$

where $\rho_{\mu} = \rho_{\mu}(m, n)$, then there is equality in (7) if and only if

$$s_{\nu} = C k_{\nu} \quad (0 \leq \nu \leq m) \quad (11)$$

where C is an arbitrary constant, and $k_{\nu} = k_{\nu}(m, n)$ satisfies the equation†

$$\sum_{\nu=0}^{\mu} a_{\mu\nu} k_{\nu} = e^{i\rho_{\mu}} R_{\mu} \quad (0 \leq \mu \leq m), \quad (12)$$

i.e.

$$k_{\nu} = \sum_{\mu=0}^{\nu} b_{\nu\mu} e^{i\rho_{\mu}} R_{\mu} \quad (0 \leq \nu \leq m). \quad (13)$$

If $h_{\mu} = 0$ for some μ (and the corresponding ρ_{μ} is chosen arbitrarily), the conditions (11)–(13) are sufficient for equality.

In all cases, the factor G may be expressed in the form

$$G = \frac{1}{R_n} \left| \sum_{\nu=0}^m a_{n\nu} k_{\nu} \right|. \quad (14)$$

Proof. Let m, n be given integers such that $0 \leq m < n$. Write‡

$$t_{n,m} = \sum_{\nu=0}^m a_{n\nu} s_{\nu} = \sum_{\nu=0}^m a_{n\nu} \sum_{\mu=0}^{\nu} b_{\nu\mu} t_{\mu} = \sum_{\mu=0}^m t_{\mu} \sum_{\nu=\mu}^m a_{n\nu} b_{\nu\mu} = \sum_{\mu=0}^m h_{\mu} t_{\mu},$$

† If $C = 0$, k_{ν} is arbitrary.

‡ Cf. Wilansky and Zeller [19] or Zeller [21; p. 43].

where h_μ is given by (9). Then

$$\frac{1}{R_n} |t_{n,m}| \leq \frac{1}{R_n} \sum_{\mu=0}^m |h_\mu t_\mu| \quad (*)$$

$$\begin{aligned} &= \frac{1}{R_n} \sum_{\mu=0}^m |h_\mu| R_\mu |t_\mu| / R_\mu \leq \frac{M}{R_n} \sum_{\mu=0}^m |h_\mu| R_\mu \\ &= GM, \end{aligned} \quad (**)$$

where

$$M = \max_{0 \leq \mu \leq m} |t_\mu| / R_\mu \quad (15)$$

and $G = G(m, n)$ is given by (8).

This establishes the inequality (7).

We next show that $G(m, n)$ is best-possible, in the sense that there is a finite sequence $\{s_\nu'\} = \{s_\nu'(m, n)\}$, such that

$$\frac{1}{R_n} \left| \sum_{\nu=0}^m a_{n\nu} s_\nu' \right| = G \max_{0 \leq \mu \leq m} \left| \frac{1}{R_\mu} \sum_{\nu=0}^\mu a_{\mu\nu} s_\nu' \right|. \quad (16)$$

Now equality is attained in (7) if and only if nothing is thrown away at the steps (*) and (**). Thus there is equality in (7) if and only if

$$(i) \quad h_\mu t_\mu = e^{i\theta} |h_\mu t_\mu| \quad (0 \leq \mu \leq m),$$

where θ is real and independent of μ ,

$$(ii) \quad |h_\mu t_\mu| = M |h_\mu| R_\mu \quad (0 \leq \mu \leq m),$$

where M is non-negative and independent of μ .

First suppose that $h_\mu \neq 0$ ($0 \leq \mu \leq m$). Then the conditions become

$$\left. \begin{aligned} (i)' \quad & t_\mu = e^{i\theta} \cdot e^{i\rho_\mu} |t_\mu| \\ (ii)' \quad & |t_\mu| = M R_\mu \end{aligned} \right\} \quad (0 \leq \mu \leq m),$$

for some real θ and some $M \geq 0$, where ρ_μ satisfies (10).

Conditions (i)' and (ii)' hold if and only if

$$i.e., \quad \left. \begin{aligned} t_\mu &= M e^{i\theta} \cdot e^{i\rho_\mu} R_\mu \\ t_\mu &= C e^{i\rho_\mu} R_\mu \end{aligned} \right\} \quad (0 \leq \mu \leq m) \quad (17)$$

for some constant C .

Thus there is equality in (7) if and only if

$$\sum_{\nu=0}^\mu a_{\mu\nu} s_\nu = C e^{i\rho_\mu} R_\mu \quad (0 \leq \mu \leq m). \quad (18)$$

Now let k_ν be defined as so to satisfy (12)–(13). Then the conditions for equality are satisfied if and only if (11) holds.

Next suppose that $h_\mu = 0$ for one or more μ . If $h_{\mu'} = 0$, where $0 \leq \mu' \leq m$, conditions (i) and (ii) are automatically satisfied for $\mu = \mu'$, and impose no

restriction on $t_{\mu'}$, except that $|t_{\mu'}| \leq MR_{\mu'}$ by (15). Hence the conditions for equality are satisfied, in particular, when s_{ν} satisfies (11).

Finally, if we substitute $s_{\nu'} = k_{\nu}$ into (16), we obtain

$$\frac{1}{R_n} \left| \sum_{\nu=0}^m a_{n\nu} k_{\nu} \right| = G \max_{0 \leq \mu \leq m} \left| \frac{1}{R_{\mu}} e^{i\rho_{\mu}} R_{\mu} \right| = G.$$

Thus G is always expressible in the form (14).

This completes the proof of the theorem.

Remarks. (1) More precisely, there is equality in (7) if and only if

$$\text{and} \quad \left. \begin{array}{l} \text{(I) } t_{\mu} = C e^{i\rho_{\mu}} R_{\mu} \quad (h_{\mu} \neq 0) \\ \text{(II) } |t_{\mu}| \leq C |R_{\mu}| \quad (h_{\mu} = 0) \end{array} \right\} \quad (0 \leq \mu \leq m). \quad (19)$$

In particular, if $h_{\mu} = 0$ for all μ ($0 \leq \mu \leq m$), then C is indeterminate, and there is always equality in (7), both sides being zero. In this case $a_{n\nu} = 0$ for $0 \leq \nu \leq m$.

(2) If $h_{\mu'} = 0$, and $t_{\mu'} = T$, then the contribution of $t_{\mu'}$ to $s_{\nu} = \sum_{\mu=0}^{\nu} b_{\nu\mu} t_{\mu}$ is $b_{\nu\mu'} T$, if $\nu \geq \mu'$, and zero if $\nu < \mu'$. Hence its contribution to $t_{n,m} = \sum_{\nu=0}^m a_{n\nu} s_{\nu}$ is $\sum_{\nu=\mu'}^m a_{n\nu} b_{\nu\mu'} T = h_{\mu'} T = 0$.

Thus the arbitrary $t_{\mu'}$ contributes nothing to the left-hand side of the inequality. And it contributes nothing to the right-hand side provided $|T| \leq M' R_{\mu'}$, where $M' = \max_{0 \leq \mu \leq m, \mu \neq \mu'} |t_{\mu}|/R_{\mu}$.

2.1. The inequality

$$\left| \sum_{\nu=0}^m A_{n-\nu}^{\delta-1} s_{\nu} \right| \leq \max_{0 \leq \mu \leq m} \left| \sum_{\nu=0}^{\mu} A_{\mu-\nu}^{\delta-1} s_{\nu} \right|, \quad (20)$$

where $0 < \delta < 1$, $0 \leq m < n$ and

$$A_n^{\sigma} = \binom{n+\sigma}{n} \quad (\sigma > -1), \quad (21)$$

was first stated by Jacob [8], and later found independently by myself [2], [3]. The proof in [2] is valid for complex s_{ν} .

Jacob said that the inequality was "already known", and referred to Lemma 7 of Hardy and Riesz [7], which is Riesz's inequality (or mean value theorem) for typical means:

$$\left| \int_0^{\xi} (x-u)^{\delta-1} A(u) du \right| \leq \max_{0 \leq v \leq \xi} \left| \int_0^v (v-u)^{\delta-1} A(u) du \right|, \quad (22)$$

where $0 < \delta < 1$, $0 < \xi < x$ and

$$A(u) = \sum_{\lambda_n < u} a_n \quad (\lambda_0 < \lambda_1 < \dots \text{ and } \lambda_n \rightarrow \infty). \quad (23)$$

Riesz's inequality is not the same as Jacob's, even when $\lambda_n = n$, but an examination of the proof in [7] shows that (20) may be established by a similar argument, with Abel's lemma playing the role of the second mean value theorem.

2.2. In a more general form of Riesz's inequality, $A(u)$ is replaced by a function $\phi(u) \in L(0, \xi)$ and the maximum by the essential upper bound.

The proofs of the inequality [13], [7], [14], [16], show that a factor

$$G = G(\xi, x) = \frac{1}{\Gamma(\delta) \Gamma(1-\delta)} \int_0^\xi (x-u)^{\delta-1} u^{-\delta} du < 1 \quad (24)$$

may be inserted on the right-hand side. Moreover, this factor is best-possible. For equality is attained if we put $\phi(u) = Cu^{-\delta}$.

I have obtained some extensions of Riesz's inequality in an earlier investigation not yet presented for publication.

2.3. The general inequality (2) was introduced by Jurkat and Peyerimhoff [10].

Peyerimhoff [12] had shown that, if the normal triangular matrix $C = (c_{\mu\nu})$ defines a regular sequence-to-sequence transformation, then the space C_0 , of sequences $s = \{s_\nu\}$ whose transforms $t = \{t_\mu\}$ are null sequences, will have the property† that every sequence $s = \{s_\nu\}$ in C_0 is the weak limit of the sequence‡

$$s^{(k)} = (s_0, s_1, \dots, s_k, 0, 0, \dots), \quad (25)$$

if and only if

$$\left| \sum_{\nu=0}^m c_{n\nu} s_\nu \right| \leq K \sup_{\mu \geq 0} \left| \sum_{\nu=0}^\mu c_{\mu\nu} s_\nu \right|, \quad (26)$$

whenever $0 \leq m < n < \infty$ and $s \in C_0$.

Jurkat and Peyerimhoff showed that (26) holds for all $s \in C_0$ if and only if the inequality (2) holds for all finite sequences (s_0, s_1, \dots, s_m) and all m, n such that $0 \leq m < n < \infty$. Their argument is valid for any normal triangular matrix and with C_0 replaced by any set G containing all the sequences s whose transforms are terminating sequences

$$t^{(m)} = (t_0, t_1, \dots, t_m, 0, 0, \dots). \quad (27)$$

A number of other properties have also been shown to be equivalent to (26), and hence also to (2). For an account of these, see Wilansky [18] and the references there to [4], [11], [17], [19], [20], [21].

† Schwache Abschnittskonvergenz (SAK); weak sectional convergence.

‡ i.e. $F(s) = \lim F(s^{(k)})$ for every linear functional $F(s)$ defined on C_0 .

2.4. Jurkat and Peyerimhoff [10] found sufficient conditions for the inequality (2) (or (1) with $R_\mu = 1$) to hold. Their conditions were

$$(i) \ a_{\mu\nu} > 0 \quad (0 \leq \nu \leq \mu \leq n), \quad (ii) \ \frac{a_{\mu_2 \nu_1}}{a_{\mu_1 \nu_1}} \geq \frac{a_{\mu_2 \nu_2}}{a_{\mu_1 \nu_2}}, \quad (iii) \ \frac{a_{\mu_2 0}}{a_{\mu_1 0}} \leq K, \quad (28)$$

for $0 \leq \nu_1 \leq \nu_2 \leq \mu_1 < \mu_2 \leq n$.

If condition (iii) is omitted and $a_{\mu\nu}$ is replaced by $c_{\mu\nu} = a_{\mu\nu}/a_{\mu 0}$, then $c_{\mu\nu}$ satisfies the same conditions, with $K = 1$, and hence, as their proof shows,

$$\left| \frac{1}{a_{n0}} \sum_{\nu=0}^m a_{n\nu} s_\nu \right| \leq \max_{0 \leq \mu \leq m} \left| \frac{1}{a_{\mu 0}} \sum_{\nu=0}^{\mu} a_{\mu\nu} s_\nu \right|, \quad (29)$$

for $0 \leq m \leq n$, which is an inequality of the form (1), with $R_\mu = a_{\mu 0}$. Their proof, which holds for real s_ν , is an extension of that in [3].

2.5. Wilansky and Zeller [19] (see Zeller [21, p. 43]) obtained the inequality (1), with $R_\mu = 1$, under the conditions

$$\left. \begin{aligned} a_{\mu\mu} = 1/b_{\mu\mu} > 0 \quad (\mu \geq 0), \quad a_{\mu\nu} \geq 0 \quad (0 \leq \nu < \mu), \\ b_{\mu\nu} \leq 0 \quad (0 \leq \nu < \mu), \quad B_\nu = \sum_{\mu=0}^{\nu} b_{\nu\mu} \geq 0 \quad (\nu \geq 0). \end{aligned} \right\} \quad (30)$$

Their proof, which is valid for complex s_ν , shows that the constant $K = 1$ may be replaced by the factor

$$G = \sum_{\mu=0}^m h_\mu, \quad \text{where } h_\mu \geq 0, \quad (31)$$

h_μ being given by (9). This implies, since $B_\nu \geq 0$, that

$$G = \sum_{\nu=0}^m a_{n\nu} B_\nu \leq \sum_{\nu=0}^n a_{n\nu} B_\nu = \sum_{\mu=0}^n \sum_{\nu=\mu}^n a_{n\nu} b_{\nu\mu} = 1, \quad (32)$$

and that equality is attained in (7), with $R_\mu = 1$, when $t_\mu = C$, i.e., $s_\nu = CB_\nu$.

They also observed that Jurkat and Peyerimhoff's criterion, with $K = 1$, is included in theirs. This is a generalisation of Kaluza's theorem; cf. Hardy [6; Theorem 22]. Later Zeller [22] remarked that the conditions $b_{\mu\mu} > 0$ ($\mu \geq 0$), $b_{\mu\nu} \leq 0$ ($0 \leq \nu < \mu$) themselves imply that $a_{\mu\nu} \geq 0$ ($0 \leq \nu < \mu$), so that the hypothesis on $a_{\mu\nu}$ may be omitted. This is a generalisation of a sort of converse of Kaluza's theorem; cf. Dienes [5].†

These results may be incorporated in the following theorem, which is a corollary of Theorem 1. The hypotheses of Theorem 2 are satisfied, in particular, under conditions (28), with (iii) omitted.

† Dr. Vermes showed me that if B is $n \times n$ and triangular, with diagonal matrix D , then $B^{-1} = \sum_{\nu=0}^{n-1} D^{-\nu-1}(D-B)^\nu$, since $(D-B)^n = 0$. See also Tatchell [15]. Professor Peyerimhoff gave me two further references: (1) G. de Rham, *Publ. Inst. Math. Belgrade*, 4 (1952), 133–134, (2) W. B. Jurkat, *Proc. International Congress of Mathematicians Amsterdam*, 2 (1954), 126.

THEOREM 2. If $B = (b_{\mu\nu})$ is the inverse of a normal triangular matrix $A = (a_{\mu\nu})$, and if

$$b_{\mu\mu} > 0 \quad (0 \leq \mu \leq n), \quad b_{\mu\nu} \leq 0 \quad (0 \leq \nu < \mu \leq n) \quad (33)$$

and $R_\mu > 0$ ($0 \leq \mu \leq n$), then $a_{\mu\nu} \geq 0$ ($0 \leq \nu \leq \mu \leq n$) and, if $0 \leq m < n$,

$$h_\mu \geq 0 \quad (0 \leq \mu \leq m), \quad (34)$$

where h_μ is given by (9). Further the inequality (7) holds, with

$$G = \left| \sum_{\nu=0}^m a_{n\nu} k_\nu \right| / \sum_{\nu=0}^n a_{n\nu} k_\nu, \quad (35)$$

where k_ν satisfies

$$\sum_{\nu=0}^{\mu} a_{\mu\nu} k_\nu = R_\mu \quad (0 \leq \mu \leq n), \quad (36)$$

i.e.,

$$k_\nu = \sum_{\mu=0}^{\nu} b_{\nu\mu} R_\mu \quad (0 \leq \nu \leq n). \quad (37)$$

Proof. Since conditions (33) imply that $a_{\mu\nu} \geq 0$ ($0 \leq \nu \leq \mu \leq n$), we have, as in Wilansky and Zeller's theorem,

$$h_\mu = \sum_{\nu=\mu}^m a_{n\nu} b_{\nu\mu} = - \sum_{\nu=m+1}^n a_{n\nu} b_{\nu\mu} \geq 0 \quad (0 \leq \mu \leq m).$$

Thus

$$h_\mu = e^{-0i} |h_\mu| \quad (0 \leq \mu \leq m),$$

and (13), with $\rho_\mu = 0$, becomes (37) for $0 \leq \nu \leq m$. Hence (35) follows from (14), together with (36) for $\mu = n$.

This proves the theorem.

In particular, we have

COROLLARY 1. If $k_\nu \geq 0$ ($0 \leq \nu \leq n$), then $G \leq 1$.

If $R_\mu = 1$, this is Wilansky and Zeller's theorem.

COROLLARY 2. If $k_\nu \geq 0$ ($0 \leq \nu \leq n$) and $a_{n\nu'} k_{\nu'} > 0$ for some ν' such that $m < \nu' \leq n$, then $G < 1$.

COROLLARY 3. If $k_\nu = 0$ ($m < \nu \leq n$), then $G = 1$.

COROLLARY 4. If $k_\nu \leq 0$ ($m < \nu \leq n$) and $a_{n\nu'} k_{\nu'} < 0$ for some ν' such that $m < \nu' \leq n$, then $G > 1$.

In Corollaries 1-2,

$$0 \leq \sum_{\nu=0}^m a_{n\nu} k_\nu \left\{ \begin{array}{l} \leq \\ < \end{array} \right\} \sum_{\nu=0}^n a_{n\nu} k_\nu = R_n \neq 0.$$

In Corollaries 3-4,

$$\sum_{\nu=0}^m a_{n\nu} k_{\nu} \left\{ \begin{matrix} = \\ > \end{matrix} \right\} \sum_{\nu=0}^n a_{n\nu} k_{\nu} = R_n > 0.$$

Examples. (1) If $0 < \delta < 1$, $\alpha > -1$, then †

$$\left| \frac{1}{A_n^{\alpha}} \sum_{\nu=0}^m A_{n-\nu}^{\delta-1} s_{\nu} \right| \leq G \max_{0 \leq \mu \leq m} \left| \frac{1}{A_n^{\mu}} \sum_{\nu=0}^{\mu} A_{\mu-\nu}^{\delta-1} s_{\nu} \right| \quad (38)$$

for $0 \leq m < n$, where

$$G = \frac{1}{A_n^{\alpha}} \left| \sum_{\nu=0}^m A_{n-\nu}^{\delta-1} A_{\nu}^{\alpha-\delta} \right|, \quad (39)$$

and equality is attained in (38) if and only if

$$s_{\nu} = C A_{\nu}^{\alpha-\delta} \quad (0 \leq \nu \leq m). \quad (40)$$

Further,

$$G = \left\{ \begin{matrix} < 1 & (\alpha > \delta - 1) \\ = 1 & (\alpha = \delta - 1) \\ > 1 & (-1 < \alpha < \delta - 1). \end{matrix} \right\} \quad (41)$$

Here $b_{\mu\nu} = A_{\mu-\nu}^{-\delta-1}$, $R_{\mu} = A_{\mu}^{\alpha} > 0$, $h_k > 0$ and

$$k_{\nu} = \sum_{\mu=0}^{\nu} A_{\nu-\mu}^{-\delta-1} A_{\mu}^{\alpha} = A_{\nu}^{\alpha-\delta}. \quad (42)$$

Hence $k_0 = 1$ and, for $\nu \geq 1$,

$$k_{\nu} = \left\{ \begin{matrix} > 0 & (\alpha - \delta > -1) \\ = 0 & (\alpha - \delta = -1) \\ < 0 & (-1 - \delta < \alpha - \delta < -1). \end{matrix} \right\} \quad (43)$$

(2) An example of Corollary 3 is the extension of the inequality (29) to complex s_{ν} .

(3) Let $A = (a_{n\nu})$ be the Hausdorff matrix (\mathcal{H}, μ) , where $\mu_{\nu} \neq 0$ ($\nu \geq 0$) i.e.,

$$a_{n\nu} = \binom{n}{\nu} \Delta^{n-\nu} \mu_{\nu}, \quad b_{n\nu} = \binom{n}{\nu} \Delta^{n-\nu} (1/\mu_{\nu}), \quad (44)$$

where $\Delta u_{\lambda} = u_{\lambda} - u_{\lambda+1}$.

Then, if $\mu_0 > 0$ and $\Delta^p (1/\mu_{\nu}) \leq 0$ ($\nu \geq 0$, $p \geq 1$), the matrix A satisfies the hypotheses of Theorem 2. If $R_{\mu} = 1$, then

$$\{k_{\nu}\} = (\mathcal{H}, 1/\mu) \{1\} = \{1/\mu_0\}, \quad (45)$$

and equality is attained in (7) when $s_{\nu} = C$ ($0 \leq \nu \leq m$).

† The case $\alpha \geq \delta - 1$, with G replaced by $K = 1$, has been given by Andersen [1].

We may take

$$(i) \quad \mu_\nu = 1 / \binom{\nu + \delta}{\nu} = \delta \int_0^1 t^\nu (1-t)^{\delta-1} dt, \quad (46)$$

where $0 < \delta < 1$. Then A is the Cesàro matrix of order δ : $a_{\mu\nu} = A_{\mu-\nu}^{\delta-1} / A_\mu^\delta$.

$$(ii) \quad \mu_\nu = (\nu + 1)^{-\delta} = \frac{1}{\Gamma(\delta)} \int_0^1 t^\nu \{\log(1/t)\}^{\delta-1} dt, \quad (47)$$

where $0 < \delta < 1$. Then A is the Hölder matrix of order δ .

In this case, if $R_\mu = 1$, we obtain

$$\left| \sum_{\nu=0}^m \binom{n}{\nu} \Delta^{n-\nu} \{(\nu+1)^{-\delta}\} s_\nu \right| \leq G \max_{0 \leq \mu \leq m} \left| \sum_{\nu=0}^\mu \binom{\mu}{\nu} \Delta^{\mu-\nu} \{(\nu+1)^{-\delta}\} s_\nu \right|, \quad (48)$$

where

$$G = \sum_{\nu=0}^m \binom{n}{\nu} \Delta^{n-\nu} \{(\nu+1)^{-\delta}\}. \quad (49)$$

Here $h_\mu > 0$ ($0 \leq \mu \leq m$) and $\{k_\nu\} = H_\nu^{-\delta} \{1\} = 1$. Hence there is equality in (48) if and only if $s_\nu = C$ ($0 \leq \nu \leq m$).

Also $G(m, n) \leq G(n-1, n) < R_n = 1$.

Zeller [20] has stated that the inequality for Hölder means of order δ , $0 < \delta < 1$, with $K = 1$ in place of G , was obtained by Peyerimhoff [12]. But the inequality does not occur in Peyerimhoff's paper.

Jacob [9] has given an inequality for the integral analogue of Hölder means of order δ , $0 < \delta < 1$.

2.6. The next simplest case of Theorem 1 is the following result.

THEOREM 3. If $A = (a_{\mu\nu})$, $R_\mu > 0$ and

$$a_{\mu\mu} > 0 \quad (0 \leq \mu \leq n), \quad a_{\mu\nu} \leq 0 \quad (0 \leq \nu < \mu \leq n), \quad (50)$$

then $b_{\mu\nu} \geq 0$ ($0 \leq \nu \leq \mu \leq n$) and, if $0 \leq m < n$,

$$h_\mu \leq 0 \quad (0 \leq \mu \leq m), \quad (51)$$

where h_μ is given by (9). Further, the inequality (7) holds, with

$$G = \sum_{\nu=0}^m a_{n\nu} k_\nu / \left| \sum_{\nu=0}^n a_{n\nu} k_\nu \right|, \quad (52)$$

where

$$k_\nu = - \sum_{\mu=0}^\nu b_{\nu\mu} R_\mu \quad (0 \leq \nu \leq n), \quad (53)$$

and also†

$$G(m, n) \leq G(n-1, n) = \frac{1}{R_n b_{nn}} \sum_{\mu=0}^{n-1} b_{n\mu} R_\mu. \quad (54)$$

† Cf. §4, Theorem 7.

Proof. Since (50) implies that $b_{\mu\nu} \geq 0$ ($0 \leq \nu \leq \mu \leq n$), we have

$$h_\mu = \sum_{\nu=\mu}^m a_{n\nu} b_{\nu\mu} \leq 0.$$

Hence $h_\mu = e^{-\pi i} |h_\mu|$, so that (13) becomes (53) for $0 \leq \nu \leq m$, and (52) follows as (35) does in Theorem 2. Finally, (54) follows, since $a_{n\nu} k_\nu \geq 0$ ($0 \leq \nu < n$) and

$$h_\mu(n-1, n) = -a_{nn} b_{n\mu} = -b_{n\mu}/b_{nn} \leq 0 \quad (0 \leq \mu < n).$$

Example. $a_{\mu\nu} = A_{\mu-\nu}^{-\eta-1}$ ($0 < \eta < 1$). $R_\mu = A_\mu^\alpha$ ($\alpha > -1$). Here $b_{\mu\nu} = A_{\mu-\nu}^{\eta-1}$, $k_\nu = A_\nu^{\alpha+\eta}$ and

$$G(m, n) = \frac{1}{A_n^\alpha} \left| \sum_{\nu=0}^m A_{n-\nu}^{-\eta-1} A_\nu^{\alpha+\eta} \right|. \quad (55)$$

Clearly $G(m, n)$ is bounded if $m < \theta n$ ($0 < \theta < 1$), but

$$G(n-1, n) = (A_n^{\alpha+\eta} - A_n^\alpha)/A_n^\alpha,$$

which is unbounded.

3.1. In Theorems 2 and 3, and the examples on them, the numbers k_ν were independent of m and n . In other words, if the hypotheses are given for all m, n such that $0 \leq m < n < \infty$, then the sequences $\{s_\nu\} = \{Ck_\nu\}$ give equality in (7) for all m and n such that $0 \leq m < n < \infty$.

In this section we first obtain necessary and sufficient conditions for a matrix $(a_{\mu\nu})$ to be such that equality can be attained in (7) throughout

$$\Delta: \text{the set of all pairs } (m, n) \text{ such that } 0 \leq m < n < \infty, \quad (56)$$

with one and the same sequence $\{s_\nu\}$, where $s_0' \neq 0$. If $s_\nu = 0$ ($0 \leq \nu \leq p$), $h_\mu(m, n)$ is unrestricted for $0 \leq \mu \leq p$.

For $\mu \geq 0$, we write Δ_μ for the set of pairs (m, n) such that $\mu \leq m < n < \infty$.

THEOREM 4. *If $A = (a_{\mu\nu})$ is triangular and normal, then a necessary and sufficient condition for equality to be attainable in (7) throughout Δ , defined by (56), with a sequence $\{s_\nu\}$ ($s_0 \neq 0$) independent of m and n , is that there should be real numbers $\sigma_{n,m}$ ($0 \leq m < n < \infty$) and ρ_μ ($\mu \geq 0$) such that*

$$h_\mu(m, n) = e^{i(\sigma_{n,m} - \rho_\mu)} |h_\mu(m, n)| \quad (0 \leq \mu \leq m) \quad (57)$$

for all (m, n) in Δ , where $\sigma_{n,m}$ is independent of μ and ρ_μ is independent of m and n , $h_\mu(m, n)$ being defined by (9).

Proof. There will be equality in (7) throughout Δ , with a given sequence $\{s_\nu\}$, if and only if nothing is thrown away at the steps corresponding to (*) and (**) in the proof of Theorem 1.

If t'_μ is the transform of s'_ν , given by (3), necessary and sufficient conditions are

$$\begin{aligned} (i) \quad & h_\mu t'_\mu = e^{i\sigma_{n,m}} |h_\mu t'_\mu| \quad \left. \vphantom{h_\mu t'_\mu} \right\} \quad (0 \leq \mu \leq m) \\ (ii) \quad & |h_\mu t'_\mu| = M_m |h_\mu| R_\mu \end{aligned} \quad (58)$$

for all (m, n) in Δ , where $\sigma_{n,m}$ is independent of μ , and if $s'_0 \neq 0$,

$$M_m = \max_{0 \leq \mu \leq m} |t'_\mu|/R_\mu > 0.$$

Necessity. Assume that there is a sequence $\{s'_\nu\}$ ($s'_0 \neq 0$) giving equality in (7) throughout Δ .

First suppose that (a) for each μ ($\mu \geq 0$) there is a pair $m = m_\mu$, $n = n_\mu$ in Δ_μ such that $h_\mu(m_\mu, n_\mu) \neq 0$. Then, if we put $m = m_\mu$, $n = n_\mu$ in (ii) and cancel the non-zero factor, we see that (ii) implies

$$(ii)' \quad |t'_\mu| = M_{m_\mu} R_\mu \quad (\mu \geq 0).$$

Since $m_\mu \geq \mu$, (ii)' implies

$$(ii)'' \quad |t'_\mu| = M_\mu R_\mu \quad (\mu \geq 0).$$

Since t'_μ is independent of m and n , it follows from (ii)'' that there are numbers ρ_μ such that

$$t'_\mu = M_\mu e^{i\rho_\mu} R_\mu \quad (\mu \geq 0). \quad (59)$$

Substituting from (59) into (i), we obtain

$$h_\mu M_\mu e^{i\rho_\mu} R_\mu = e^{i\sigma_{n,m}} |h_\mu| M_\mu R_\mu \quad (0 \leq \mu \leq m < n < \infty),$$

which is the equivalent to (57), since $M_\mu > 0$, $R_\mu > 0$.

Thus (57) is *necessary* when (a) holds.

Next suppose that condition (a) does not hold, and let G be the set of values of μ for which $h_\mu(m, n) = 0$ throughout Δ_μ . Then for every μ not in G , the same argument shows that there must be numbers $\sigma_{n,m}$ and ρ_μ satisfying (57). But for every μ in G , conditions (i), (ii) and (57) are automatically satisfied. Thus (57) is *necessary* when condition (a) does not hold.

Sufficiency. If (57) holds, then (i) and (ii) are satisfied, with $M_m = M > 0$, when $t'_\mu = M e^{i\lambda} e^{i\rho_\mu} R_\mu$, and hence there is equality in (7) throughout Δ when $s'_\nu = C k_\nu$ ($C \neq 0$), with k_ν as in (13).

Thus (57) is *sufficient*, and the theorem is proved.

3.2. The class of sequences $\{s'_\nu\}$ giving equality in (7) throughout Δ is most easily determined in the case where $h_\mu(m, n) \neq 0$ ($0 \leq \mu \leq m$) for all (m, n) in Δ . In the general case, where some of the $h_\mu(m, n)$ may vanish, we must analyse the distribution of the non-vanishing $h_\mu(m, n)$.

We shall say that two non-negative integers μ_1, μ_2 are *linked*, if there is a pair (m', n') in $\Delta_{\mu_1} \cap \Delta_{\mu_2}$ such that $h_{\mu_1}(m', n') \neq 0$ and $h_{\mu_2}(m', n') \neq 0$. If a non-negative integer μ is not linked to any other non-negative integer we shall call μ *isolated*.

We shall say that a set E of non-negative integers is *connected*, if for every pair μ_α, μ_β in E there is a finite chain of linked pairs $(\mu_0, \mu_1), (\mu_1, \mu_2), \dots, (\mu_{N-1}, \mu_N)$ such that $\mu_0 = \mu_\alpha, \mu_N = \mu_\beta$.

The simplest case from this point of view is that in which the set $\mu \geq 0$ is connected. In the next theorem we determine in this case the class of $\{s_\nu\}$, with $s_0 \neq 0$, giving equality in (7) throughout Δ .

THEOREM 5. *If condition (57) holds, and the set $\mu \geq 0$ is connected (in the sense defined), then there is equality in (7) throughout Δ , with $s_0 \neq 0$, if and only if*

$$s_\nu = Ck_\nu \quad (\nu \geq 0), \quad (60)$$

where C is a non-zero constant and

$$k_\nu = \sum_{\mu=0}^{\nu} b_{\nu\mu} e^{i\rho_\mu} R_\mu \quad (\nu \geq 0), \quad (61)$$

$(b_{\mu\nu})$ being the inverse of $(a_{\mu\nu})$.

If (57) holds and the set $\mu \geq 0$ is not connected, then conditions (60)–(61) are sufficient for equality in (7) throughout Δ .

Proof. Let $\{s_\nu\}$ be an arbitrary sequence, with $s_0 \neq 0$, and write

$$t_\mu = e^{i\theta_\mu} |t_\mu| \quad (\mu \geq 0), \quad (62)$$

where t_μ is given by (3) and θ_μ is real. Then $\{s_\nu\}$ will give equality in (7) throughout Δ if and only if

$$\left. \begin{aligned} \text{(i)}_a \quad & h_\mu e^{i\theta_\mu} |t_\mu| = e^{i\phi_{n,m}} |h_\mu t_\mu| \\ \text{(ii)}_a \quad & |h_\mu t_\mu| = M_m R_\mu |h_\mu| \end{aligned} \right\} \quad (0 \leq \mu \leq m)$$

for all (m, n) in Δ , where $M_m > 0$ and $\phi_{n,m}$ is real and independent of μ .

Necessity. Suppose that there is equality in (7) throughout Δ with t_μ given by (62).

Since (57) holds, it follows from $(i)_a$ and $(ii)_a$, as in the proof of Theorem 4, that

$$e^{i(\phi_{n,m} - \theta_\mu)} |h_\mu(m, n)| = e^{i(\sigma_{n,m} - \rho_\mu)} |h_\mu(m, n)| \quad (0 \leq \mu \leq m) \quad (63)$$

for all (m, n) in Δ .

Now let μ_α, μ_β be an arbitrary pair of non-negative integers. Since the set $\mu \geq 0$ is connected, there is a finite chain of linked pairs (μ_{j-1}, μ_j) ($j=1, 2, \dots, N$), with $\mu_0 = \mu_\alpha, \mu_N = \mu_\beta$, and pairs (m_j, n_j) in $\Delta_{\mu_{j-1}} \cap \Delta_{\mu_j}$ such that $h_{\mu_{j-1}}(m_j, n_j) \neq 0, h_{\mu_j}(m_j, n_j) \neq 0$ ($j=1, 2, \dots, N$).

Substituting $m=m_j$, $n=n_j$ into (63), with $\mu=\mu_{j-1}$ and $\mu=\mu_j$, and eliminating the terms involving (m_j, n_j) , we find that

$$\exp\{i(\theta_{\mu_{j-1}} - \rho_{\mu_{j-1}})\} = \exp\{i(\theta_{\mu_j} - \rho_{\mu_j})\} \quad (j=1, 2, \dots, N), \quad (64)$$

and hence that

$$\exp\{i(\theta_{\mu_\alpha} - \rho_{\mu_\alpha})\} = \exp\{i(\theta_{\mu_\beta} - \rho_{\mu_\beta})\}. \quad (65)$$

Since μ_α, μ_β are arbitrary non-negative integers, this proves that

$$e^{i(\theta_\mu - \rho_\mu)} = e^{i\lambda} \quad (\mu \geq 0), \quad (66)$$

where λ is real and independent of μ, m and n .

Also, from (ii)_a, $M_{\mu+1} = M_{m_j} = M_{\mu_j}$, ($j=1, 2, \dots, N$), so that $M_{\mu_\alpha} = M_{\mu_\beta}$. Thus $M_\mu = M > 0$, and

$$t_\mu = M e^{i\lambda} e^{i\rho_\mu} R_\mu = C e^{i\rho_\mu} R_\mu \quad (\mu \geq 0), \quad (67)$$

where C is a non-zero constant, and hence $\{s_\nu\}$ satisfies (60)–(61).

Sufficiency. We have already shown that the condition $t_\mu = C e^{i\rho_\mu} R_\mu$, which is equivalent to (60)–(61), is sufficient.

This completes the proof.

Remark. In the general case, the set $\mu \geq 0$ will be composed of a sequence $\{D_j\}$ of sets, where each D_j is either a connected set or an isolated integer. Also the union of the intervals $\nu \leq \mu \leq m_\nu$, where $h_\nu(m_\nu, n_\nu) \neq 0$, is a set of distinct intervals which, together with the point-intervals formed by the remaining isolated integers, is a sequence $\{I_k\}$ of intervals $\sigma_k \leq \mu < \sigma_{k+1}$. Each D_j is covered by some I_k , and in the case of equality $e^{i\lambda}$ is constant in each D_j , while M_μ is constant in each I_k and M_μ is non-decreasing. Thus there will be equality in (7) throughout Δ , with $s_0 \neq 0$, if and only if (I) $t_\mu = M_{\sigma_k} e^{i\lambda_j} e^{i\rho_\mu} R_\mu$ for $\mu \in D_j \subseteq I_k$, whenever D_j is a connected set, and (II) $|t_\mu| \leq M_{\sigma_k} R_\mu$ whenever μ is an isolated integer such that $\mu \neq 0$ and $\mu \in I_k$, where $|t_0| = M_0 R_0 = |s_0| > 0$.

4. In his later paper [22], Zeller gave two theorems (Satz 1 and Satz 2) which would not normally have been suggested by the results of the present paper.

Zeller's Satz 2 completes the theorem of Wilansky and Zeller, mentioned above in §2.5, in a necessary and sufficient form. It may be modified so as to complete Theorem 2 similarly.

Zeller assumed in Satz 2 that the matrix A is triangular and normal and has non-negative elements, but he need only have chosen the sign of the *diagonal* elements. By omitting the redundant hypothesis, we are

led to a similar completion of Theorem 3. The converse results may be combined into the following single theorem, in which *either* the upper signs *or* the lower signs may be taken.

THEOREM 6. *If $A = (a_{\mu\nu})$ is triangular and normal, and $a_{\mu\mu} > 0$, $R_\mu > 0$ for $0 \leq \mu \leq n \leq N$, and if*

$$\gamma(m, n) = \frac{1}{R_n} \sum_{\nu=0}^m a_{n\nu} r_\nu \geq 0 \quad (0 \leq m < n \leq N), \quad (68)$$

where

$$r_\nu = \pm \sum_{\mu=0}^{\nu} b_{\nu\mu} R_\mu \quad (0 \leq \nu \leq N), \quad (69)$$

$(b_{\mu\nu})$ being the inverse of $(a_{\mu\nu})$, and if the inequality

$$\left| \frac{1}{R_n} \sum_{\nu=0}^m a_{n\nu} s_\nu \right| \leq \gamma(m, n) \max_{0 \leq \mu \leq m} \left| \frac{1}{R_\mu} \sum_{\nu=0}^{\mu} a_{\mu\nu} s_\nu \right| \quad (70)$$

holds for all m, n such that $0 \leq m < n \leq N$, and for all finite sequences $\{s_\nu\}$ ($0 \leq \nu < N$), then

$$a_{\mu\nu} \begin{cases} \geq 0 \\ \leq 0 \end{cases}, \quad b_{\mu\nu} \begin{cases} \leq 0 \\ \geq 0 \end{cases} \quad (0 \leq \nu < \mu \leq N), \quad (71)$$

and $\gamma(m, n)$ is the best possible factor in (70).

Proof. Since $G(m, n)$, given by (8)–(9), is the best-possible factor in (7), the truth of (70) in the cases stated implies that

$$G(m, n) \leq \gamma(m, n) \quad (0 \leq m < n \leq N) \quad (72)$$

and hence, by (8), (9), (68) and (69), that

$$\frac{1}{R_n} \sum_{\mu=0}^m |h_\mu(m, n)| R_\mu \leq \pm \frac{1}{R_n} \sum_{\nu=0}^m a_{n\nu} \sum_{\mu=0}^{\nu} b_{\nu\mu} R_\mu = \pm \frac{1}{R_n} \sum_{\mu=0}^m h_\mu(m, n) R_\mu.$$

This implies that

$$h_\mu(m, n) \begin{cases} \geq 0 \\ \leq 0 \end{cases} \quad (0 \leq \mu \leq m < n \leq N). \quad (73)$$

With the upper signs, (73) implies that

$$h_\mu(n-1, n) = -a_{nn} b_{n\mu} \geq 0 \quad (0 \leq \mu < n \leq N). \quad (74)$$

Since $a_{nn} > 0$, (74) implies that $b_{n\mu} \leq 0$ for $0 \leq \mu < n \leq N$, and hence also that $a_{n\mu} \geq 0$ for $0 \leq \mu < n < N$.

With the lower signs, (73) implies that

$$h_m(m, n) = a_{nm} b_{mm} \leq 0 \quad (0 \leq m < n \leq N). \quad (75)$$

Since $b_{mm} > 0$, (75) implies that $a_{nm} \leq 0$ for $0 \leq m \leq n \leq N$, and hence also $b_{nm} \geq 0$ for $0 \leq m < n \leq N$.

Thus the relations (71) hold, with $a_{\mu\mu} = 1/b_{\mu\mu} > 0$, and hence it follows from Theorems 2 and 3, (35) and (52), that

$$\gamma(m, n) = G(m, n). \quad (76)$$

This completes the proof.

Zeller's Satz 1 may be restated in the form

THEOREM 7. *If the matrix $A = (a_{\mu\nu})$ is triangular and normal, then a necessary and sufficient condition for the inequality (1) to hold, with $K = 1$, for all m, n such that $0 \leq m < n \leq N$, and all finite sequences $\{s_\nu\}$ ($0 \leq \nu < N$), is that*

$$\sum_{\mu=0}^{n-1} |b_{n\mu}| R_\mu \leq |b_{nn}| R_n \quad (0 < n \leq N), \quad (77)$$

where $B = (b_{\mu\nu})$ is the inverse of A .

Proof. The condition is *necessary*, since

$$h_\mu(n-1, n) = -a_{nn} b_{n\mu} = -b_{n\mu}/b_{nn},$$

and hence (77) is equivalent to

$$G(n-1, n) = \frac{1}{R_n} \sum_{\mu=0}^{n-1} |h_\mu(n-1, n)| R_\mu \leq 1 \quad (0 < n \leq N).$$

The *sufficiency* will now follow from †

LEMMA. *If $G(n-1, n) \leq 1$ for $1 \leq n \leq N$, then*

$$G(0, n) \leq G(1, n) \leq \dots \leq G(n-1, n)$$

for $2 \leq n \leq N$.

Let m have any value such that $0 \leq m < n-1 \leq N-1$. Then

$$\begin{aligned} R_n G(m, n) &= \sum_{\mu=0}^m R_\mu \left| \sum_{\nu=\mu}^m a_{n\nu} b_{\nu\mu} \right| \\ &\leq \sum_{\mu=0}^m R_\mu \left| \sum_{\nu=\mu}^{m+1} a_{n\nu} b_{\nu\mu} \right| + |a_{n, m+1}| \sum_{\mu=0}^m |b_{m+1, \mu}| R_\mu \\ &\leq \sum_{\mu=0}^m R_\mu \left| \sum_{\nu=\mu}^{m+1} a_{n\nu} b_{\nu\mu} \right| + |a_{n, m+1} b_{m+1, m+1}| R_{m+1} \\ &= \sum_{\mu=0}^{m+1} R_\mu \left| \sum_{\nu=\mu}^{m+1} a_{n\nu} b_{\nu\mu} \right| = R_n G(m+1, n). \end{aligned}$$

This proves the lemma, and hence the theorem.

† Zeller's argument proceeded from the identity (6), which we have not used.

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University College,
London.

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